



Attorney Docket
No. P0316

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application of)
Harold Gene Havard, Jr.)
Steven Schneider and)
Eric M. Perez)
Serial No.: 10/764,666) Group Art Unit:
Filed: January 26, 2004)
For: TUBULAR HEAT EXCHANGER WITH)
OFFSET INTERIOR DIMPLES) Examiner:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, Applicant hereby submits copies of the prior art references listed on the attached form PTO-1449 and requests that they be made of record in the subject patent application.

Respectfully submitted,

Date: 4-22-04

W. Kirk McCord
W. Kirk McCord
Registration No. 29,192
Attorney for Applicant

Lennox International Inc.
Law Department
P. O. Box 799900
Dallas, Texas 75379-9900
(972) 497-5196



CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lisa Hardin
(Typed name of person mailing paper)

Date: April 22, 2004

A handwritten signature in black ink that reads "Lisa Hardin".



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Shee

1

10

Complete if Known

Application Number 10/764,666

Filing Date 01/26/04

First Named Inventor

Art Unit

Examiner Name

Attorney Docket Number P0316

Sheet 1 of 1 Attorney Docket Number P0316

U. S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
-----------------------	--	--------------------	--

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.